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ORIGINAL ARTICLE

### **Retrospective Cohort Study**

# Endoscopic sleeve gastroplasty in class III obesity: Efficacy, safety, and durability outcomes in 404 consecutive patients

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# Abstract

# BACKGROUND

Endoscopic sleeve gastroplasty (ESG) is an effective therapy for class I-II obesity, but there are knowledge gaps in the published literature about its implementation in patients with class III obesity [body mass index (BMI)  $\ge 40 \text{ kg/m}^2$ ].

# AIM

To evaluate the safety, clinical efficacy, and durability of ESG in adults with class III obesity.

# **METHODS**

This was a retrospective cohort study that used prospectively collected data on adults with BMI  $\ge$  40 kg/m<sup>2</sup> who underwent ESG and longitudinal lifestyle counseling at two centers with expertise in endobariatric therapies from May 2018-March 2022. The primary outcome was total body weight loss (TBWL) at 12 mo. Secondary outcomes included changes in TBWL, excess weight loss (EWL) and BMI at various time points up to 36 mo, clinical responder rates at 12 and 24 mo, and comorbidity improvement. Safety outcomes were reported through the



study duration. One-way ANOVA test was performed with multiple Tukey pairwise comparisons for TBWL, EWL, and BMI over the study duration.

#### RESULTS

404 consecutive patients (78.5% female, mean age 42.9 years, mean BMI  $44.8 \pm 4.7 \text{ kg/m}^2$ ) were enrolled. ESGs were performed using an average of 7 sutures, over  $42 \pm 9$  min, and with 100% technical success. TBWL was  $20.9 \pm 6.2\%$  at 12 mo,  $20.5 \pm 6.9\%$  at 24 mo, and  $20.3 \pm 9.5\%$  at 36 mo. EWL was  $49.6 \pm 15.1\%$  at 12 mo,  $49.4 \pm 16.7\%$  at 24 mo, and  $47.1 \pm 23.5\%$  at 36 mo. There was no difference in TBWL at 12, 15, 24, and 36 mo from ESG. TBWL exceeding 10%, 15%, and 20% was achieved by 96.7%, 87.4%, and 55.6% of the cohort at 12 mo, respectively. Of the cohort with the relevant comorbidity at time of ESG, 66.1% had improvement in hypertension, 61.7% had improvement in type II diabetes, and 45.1% had improvement in hyperlipidemia over study duration. There was one instance of dehydration requiring hospitalization (0.2% serious adverse event rate).

#### **CONCLUSION**

When combined with longitudinal nutritional support, ESG induces effective and durable weight loss in adults with class III obesity, with improvement in comorbidities and an acceptable safety profile.

Key Words: Endoscopic sleeve gastroplasty; Obesity; Bariatric; Endobariatrics; Class III obesity; Comorbidities

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**Core Tip:** Patients with obesity wishing to avoid bariatric surgery can benefit from endoscopic sleeve gastroplasty (ESG), but little has been published about the safety and efficacy of ESG in those with class III obesity (body mass index  $\geq$  40 kg/m<sup>2</sup>). Based on this appraisal of a large, international cohort, ESG can be safely performed in adults with class III obesity, with clinically meaningful weight loss at one year that can be maintained over the subsequent two years, as well as improvement in weight-related comorbidities. Patients and medical providers should be made aware that ESG combined with longitudinal nutritional support is a promising weight loss tool for those with class III obesity.

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# INTRODUCTION

Obesity is a chronic, progressive, multifactorial disease spectrum of excess adiposity with detrimental effects on patients' health and well-being[1]. Those with class III obesity [body mass index (BMI)  $\ge 40$  $kg/m^2$  have 1.5 times greater risk of all-cause mortality than those with class I (BMI 30.0-34.9 kg/m<sup>2</sup>) or class II (BMI  $35.0-39.9 \text{ kg/m}^2$ ) obesity, and compared to individuals of normal weight, they have over double the risk of all-cause mortality, with a loss of 7-14 years of life expectancy [2,3]. While adults with class III obesity account for nearly 6% of the United States adult population, they constitute one-fifth of per-capita healthcare expenditures and thus represent a population in need of effective and safe weight loss strategies [4,5].

Bariatric and metabolic surgeries are the most effective weight loss interventions for patients with obesity[6]. However, the reach of these surgeries is constrained by a variety of barriers, most notably patient perception of risks and desire to avoid invasive procedures; accordingly, only 1% or less of eligible patients pursue bariatric surgery [7,8]. For patients with class III obesity, this rate is estimated to be 1 in 400[9]. Failure to provide such patients with effective surgical weight loss has been linked to development of additional obesity-associated medical problems[10]. These challenges widen the treatment gap in the global burden of obesity, especially among those at the high ranges of BMI.

Over the past decade, endoscopic bariatric therapies have entered the therapeutic landscape, hypothesized to have greater patient acceptance due to their minimally invasive, anatomy-preserving



nature[11]. The endoscopic sleeve gastroplasty (ESG) involves incisionless, per-oral gastric remodeling via full thickness sutures placed along the stomach's greater curvature to create a sleeve-like configuration that reduces stomach volume by 80% [12]. It has shown considerable promise in those with class I and II obesity, inducing a total body weight loss of approximately 16% at one year [13,14].

In July 2022, the United States Food and Drug Administration (FDA) granted De Novo Market Authorization for the creation of the ESG using the Apollo ESG™ (formerly OverStitch device, Apollo Endosurgery, Austin, TX, United States) for treatment of obesity in those with BMI from 30 kg/m<sup>2</sup> to 50 kg/m<sup>2</sup>. However, due to the relatively recent emergence of endoscopic bariatric therapies, as well as preceding expert level recommendations that they be employed in lower classes of obesity, little has been published on the use of ESG in class III obesity[11,15]. A retrospective review that included 146 adults with class III obesity who underwent ESG at a single center in Spain observed similar weight loss and adverse event outcomes as subjects with class I and II obesity, suggesting ESG is an appropriate therapy in patients with BMIs exceeding  $40 \text{ kg/m}^2$ , but further study is required to validate the findings to bolster confidence in widespread clinical adoption[16].

To address this, we examined weight loss and safety outcomes up to three years in 404 consecutive patients with class III obesity who underwent ESG, without concomitant weight loss medications, at two centers with expertise in endoscopic bariatric therapies. We hypothesized that ESG in subjects with class III obesity would achieve clinically significant weight loss with an acceptable safety profile.

#### MATERIALS AND METHODS

#### Trial design

This was an international, multicenter, retrospective analysis of prospectively followed consecutive patients with class III obesity who underwent ESG. This study was approved by an Institutional Review Board (WCG IRB, Puyallup, WA). The study was conducted following ethical principles outlined in the Declaration of Helsinki and was consistent with the Good Clinical Practices recommendation. All authors had access to the study data and reviewed and approved the final manuscript.

#### Study population

Study participants were enrolled if they were  $\geq$  20 years of age, had BMI  $\geq$  40 kg/m<sup>2</sup>, had failed to lose weight through diet/exercise alone, were interested in an endobariatric procedure for weight loss, could provide informed consent, and were willing to comply with a structured lifestyle program and dietary modification. Subjects were excluded for concomitant use of weight loss medications, prior bariatric surgery (except for history of laparoscopic adjusted gastric band status post removal), bleeding disorder or coagulopathy, non-steroidal anti-inflammatory drug dependence, poorly controlled diabetes, and severe cardiopulmonary disease, as well as if hiatal hernia > 4 cm, and/or active peptic ulcer disease was noted at time of ESG.

#### ESG Procedure and follow up

All subjects underwent self-financed ESGs between May 2018 and March 2022 at True You Weight Loss (Cary, NC, United States) and Clinica Angioskope (Sao Paulo, Brazil). All ESGs were performed by two providers with expertise in endoscopic bariatric therapies, each having performed over five hundred ESG procedures by the start of the study (CM and AH). Procedures were performed using the OverStitch Endoscopic Suturing System (Apollo Endosurgery, Austin, TX, United States) under general anesthesia with endotracheal intubation. Procedural technique was performed as previously published [17]. Subjects were discharged the same day. After the procedure, all patients were enrolled in a comprehensive lifestyle program with long-term nutritional support and monitoring at monthly virtual or in-person visits with registered dieticians who provided counseling on dietary and exercise behaviors to reinforce weight loss. Follow up with a physician or nurse practitioner was also offered as needed during the first year after ESG to provide further support and address symptoms. Patient weights were collected at each visit, either in person or virtually by standardized Bluetooth-enabled digital scale, while safety outcomes were monitored longitudinally.

#### Study endpoints

The primary outcome of the study was total body weight loss (TBWL) at 12 mo, expressed as a percentage of weight lost in comparison to baseline weight on the day of the ESG procedure. The expectation was that the mean TBWL was at least 10%, which is the expected TBWL following an endobariatric procedure[11]. Secondary endpoints included TBWL at 3, 6, 15, 24, and 36 mo; clinical responder rates (defined as  $\geq$  10% TBWL,  $\geq$  15% TBWL,  $\geq$  20% TBWL,  $\geq$  25% TBWL, and  $\geq$  30% TBWL) at 12 and 24 mo; excess weight loss (EWL) and BMI at 3, 6, 12, 15, 24, and 36 mo; number of sutures used to create the ESG; and technical success (defined as completed procedure without early termination due to technical challenges or complications), as reported in similar studies of ESG[18]. The presence of hypertension, type II diabetes, and hyperlipidemia at time of ESG was defined as any of the following:



Established diagnosis by a primary or referring provider; use of medication/devices to treat the condition. Additionally, type II diabetes was diagnosed if hemoglobin A1c  $\geq$  6.5% within 3 mo prior to ESG, and hyperlipidemia was diagnosed if low-density lipoprotein  $\geq$  160 mg/dL or total cholesterol  $\geq$ 200 mg/dL within 3 mo of ESG. Improvement in comorbidity was defined as reduction in or complete discontinuation of medications used to treat the condition by a referring provider at any point in time during study duration. It was not standard practice to repeat laboratory values after ESG in our centers, but improvement in comorbidity was also reported if a patient obtained labs elsewhere that showed hemoglobin A1c < 6.5% (type II diabetes), total cholesterol < 200 mg/dL (hyperlipidemia), and/or lowdensity lipoprotein < 160 mg/dL (hyperlipidemia) at any point in time during study duration. Safety data were collected throughout the three-year study duration and were graded according to the American Society for Gastrointestinal Endoscopy lexicon<sup>[19]</sup>.

#### Statistical analysis and data representation

The statistical components of this study were performed and reviewed by a biomedical statistician. Descriptive statistics were used for analyses. All data were tested for normality using the Kolmogorov-Smirnov test, Q-Q plot, and Levene's test. Continuous variables were expressed as means with standard deviations or medians with ranges and 95% confidence intervals. One-way ANOVA test was performed with multiple Tukey pairwise comparisons with months from the procedure as the grouping variable for TBWL, EWL, and BMI. Categorical variables were expressed as frequencies. The Kruskal-Wallis test was performed to evaluate differences in clinical responder rates with months from procedure as the grouping variable. This test was only performed for 10% and 20% clinical responders. If a difference was detected, then Wilcoxan Rank Sum test with Bonferroni Correction was performed to determine comparisons with significant differences in clinical responders. Follow up was reported as a percentage, calculated as number of patients with available data at a time point, divided by number of patients expected to have available data at that time point. Adverse event rate frequency was based on the number of patients treated. P values < 0.05 were considered statistically significant. Statistical analyses were performed using SPSS (version 29.0).

# RESULTS

Four hundred and four patients (mean age 42.9 years, 78.5% female, mean pre-procedural weight 127.3 ± 20.1 kg, mean pre-procedural BMI 44.8 ± 4.7 kg/m<sup>2</sup>) underwent ESG between May 2018 and March 2022. Technical success rate was 100%. Mean procedure duration was 42 ± 9 min and used a median of 7 sutures, with a range of 4 to 12 sutures. Prior to ESG, the cohort had the following obesity-associated comorbidities: Hypertension (35.4%), type II diabetes (17.8%), and hyperlipidemia (16.8%). Table 1 shows the baseline demographic and anthropometric characteristics of the study cohort.

#### Clinical outcomes

Table 2 shows subject accountability by visit, with greater than 80% follow-up achieved at all time points. TBWL was 12.5 ± 3.7% at 3 mo, 16.5 ± 4.8% at 6 mo, 20.9 ± 6.2% at 12 mo, 21.6 ± 7.2% at 15 mo,  $20.5 \pm 6.9\%$  at 24 mo, and  $20.3 \pm 9.5\%$  at 36 mo (Figure 1A). EWL was  $29.5 \pm 9.6\%$  at 3 mo,  $39.2 \pm 12.7\%$  at 6 mo, 49.6 ± 15.1% at 12 mo, 51.6 ± 16.8% at 15 mo, 49.4 ± 16.7% at 24 mo, and 47.1 ± 23.5% at 36 mo (Figure 1B). BMI decreased from  $44.8 \pm 4.7 \text{ kg/m}^2$  at baseline to  $38.8 \pm 3.1 \text{ kg/m}^2$  at 3 mo,  $37.0 \pm 4.0$  $kg/m^{2}$  at 6 mo,  $35.0 \pm 4.0 kg/m^{2}$  at 12 mo,  $34.5 \pm 4.7 kg/m^{2}$  at 15 mo,  $34.0 \pm 4.7 kg/m^{2}$  at 24 mo, and 35.6  $\pm$  5.5 kg/m<sup>2</sup> at 36 mo (Figure 1C). One-way ANOVA results for TBWL and EWL revealed statistically significant differences in values between preceding and subsequent timepoints through month 12, with no differences noted between 12, 15, 24, and 36 mo. For BMI, there were statistically significant differences in values between preceding and subsequent time points through month 6, with no statistical differences noted from time points spanning 6 to 36 mo. Figure 2 illustrates the distribution of obesity classes during the study. While less than 10% of subjects were cured of obesity during study duration, most subjects (85.4%) exited class III obesity by 6 mo, without notable increase in the proportion of class III obesity in the study duration. A plurality of the cohort had class I obesity by 12 and 24 mo. 12-mo clinical response rates showed 96.7% achieved at least 10% TBWL, 87.4% achieved at least 15% TBWL, and 55.6% achieved at least 20% TBWL, with similar proportions of clinical responders observed at 24 mo (Figure 3). Kruskal-Wallis confirmed no difference in  $\geq 10\%$  TBWL rates at 12 vs 24 mo or  $\ge 20\%$  TBWL rates at 12 vs 24 mo ( $P \le 0.001$  for both). Of the cohort with the respective comorbidity at the time of ESG, 66.1% had improvement in hypertension, 61.7% had improvement in type II diabetes, and 45.1% had improvement in hyperlipidemia over study duration. No patient underwent an additional endoscopic procedure for repeat suturing. One subject (starting BMI 50.5  $kg/m^2$ ) converted to a Roux-en-Y gastric bypass at 22 mo after achieving 22% TBWL.

#### Safety outcomes

There were no instances of death, gastrointestinal perforation, abscess/sepsis, gastrointestinal bleeding, intensive care unit admission, or need for endoscopic or surgical intervention for management of



Table 1 Baseline demographic and anthropometric characteristics				
Characteristic	Value			
Age (yr)	42.9 ± 9.4			
% Female	78.5			
Weight (kg)	$127.3 \pm 20.1$			
BMI (kg/m <sup>2</sup> )	$44.8\pm4.7$			
Comorbidities, n (%)				
Hypertension	143 (35.4%)			
Type II diabetes	72 (17.8%)			
Hyperlipidemia	68 (16.8%)			

BMI: Body mass index.

Table 2 Subject accountability by visit							
	3 mo	6 mo	12 mo	15 mo	24 mo	36 mo	
Total cohort	404	404	404	404	404	404	
Not yet out of window	52	122	217	266	309	387	
Expected <sup>1</sup>	352	282	187	138	95	17	
Actual	312	233	151	112	82	15	
% Follow-up	88.60%	82.60%	80.70%	81.20%	86.30%	88.30%	

<sup>1</sup>Expected = Total Cohort - Not Yet Out of Window, % Follow up = Actual/Expected × 100.

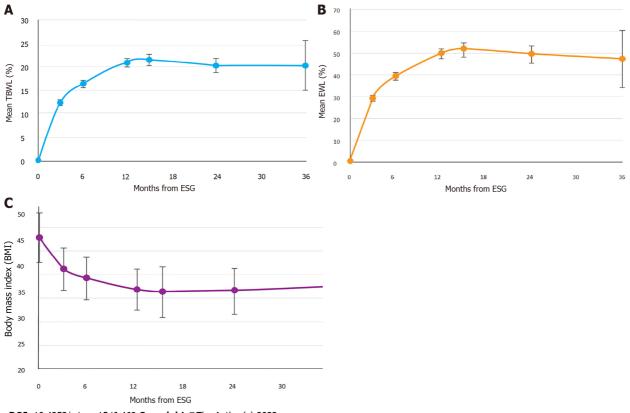
procedural complications. There were two instances of dehydration requiring emergency room presentation 2 d and 8 d after the ESG, one of which required 3-day hospitalization for acute kidney injury, which resolved with intravenous fluids. This yielded an overall adverse event rate of 0.5% and a 0.2% serious adverse event (SAE) rate.

### DISCUSSION

This is one of the first studies – and the largest to date – that examines the novel application of ESG in patients with class III obesity, a demographic traditionally relegated to surgery for weight loss. The data presented here help address misperceptions about ESG in patients with class III obesity that ostensibly are founded on concerns about insufficient efficacy, increased risk of adverse outcomes, and technical challenges in a high BMI population. Crucially, these findings support the United States FDA's recent authorization for use of the ESG in patients with obesity with BMI spanning  $30 \text{ kg/m}^2$  to  $50 \text{ kg/m}^2$ .

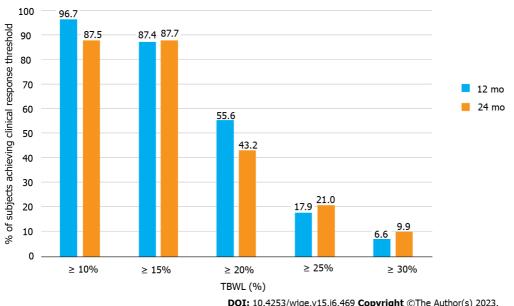
This study demonstrates that ESG, without concomitant weight loss medications, and in conjunction with prescribed diet/exercise counseling, can induce clinically significant weight loss in patients with BMI  $\ge$  40 kg/m<sup>2</sup>. Our cohort achieved a TBWL of nearly 21% at 12 mo, exceeding the 16% TBWL reported in multiple meta-analyses of ESG, and which was sustained at years 2 and 3[13,14,20]. Our results were concordant with a recently published study by Lopez-Nava et al[16] in which 146 subjects with class III obesity achieved 20.5% TBWL at one year. Weight loss appears to be most pronounced in year one after ESG, with efforts later focused on weight loss maintenance in years two and three, in line with the weight loss trajectory from ESG previously observed by Sharaiha and colleagues[21].

An important finding in our study is that most patients with class III obesity who undergo ESG will exit class III obesity by 6 mo and further improve their weight at 12 mo. Compellingly, very few subjects return to class III obesity at years 2 and 3. However, while weight loss was clinically significant, very few in the cohort were cured of obesity during the duration of the study. This underscores the challenges of managing a chronic, progressive, relapsing disorder and may provide the rationale for concomitant or sequential treatment with weight loss medications in this patient population to achieve even greater weight loss; in fact, early success with incretin-based pharmacotherapy and ESG has been reported[22]. This observation additionally supports the concept of ESG as a bridging procedure in



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Figure 1 Effect of endoscopic sleeve gastroplasty in adults with class III obesity over 3 years. A: Total body weight loss over study duration; B: Excess weight loss over study duration; C: Body mass index over study duration. ESG: Endoscopic sleeve gastroplasty; TBWL: Total body weight loss; EWL: Excess weight loss.



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Figure 2 Clinical response rates in adults with class III obesity after endoscopic sleeve gastroplasty. TBWL: Total body weight loss.

patients with markedly elevated BMI but with surgical contraindications or elevated operative risk, as has been published in a small case series by Zorron and colleagues, with a subsequent larger cohort showing safe revision of ESG to laparoscopic sleeve gastrectomy (LSG) by Alqahtani and colleagues[23, 24]. Ultimately, the clinical response to ESG in our cohort remains substantive, particularly given that traditional bariatric surgeries have a limited penetrance for eligible patients, and ESG provides a minimally invasive alternative for those who are not interested in pursuing surgical weight loss[7,8].



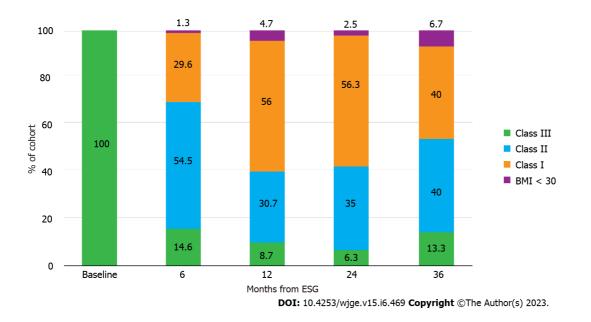


Figure 3 Distribution of obesity classes over 3 years after endoscopic sleeve gastroplasty in adults with class III obesity. TBWL: Total body weight loss; BMI: Body mass index.

The Preservation and Incorporation of Valuable Endoscopic Innovations (PIVI) thresholds recommend that an endoscopic bariatric and metabolic therapy facilitate at least 25% EWL at 12 mo[25]. In this cohort, EWL was nearly double this threshold, at almost 50% at 12 and 24 mo. This is less than the approximately 60% 12-mo EWL reported in meta-analyses of ESG, but most subjects in the ESG literature were closer to ideal body weight, which augments EWL for a given magnitude of weight loss [13,14,26]. The EWL of our cohort fell short of EWL observed following LSG, which is approximately 86% at one year; however, this does diminish to around 63% at 3 years [27]. A recently published study comparing ESG and LSG, in which ESG subjects had a baseline BMI of  $32.5 \pm 3.1 \text{ kg/m}^2$ , showed a mean difference in TBWL of 9.7% at 1 year and 4.8% at 3 years in favor of LSG[28]. While both interventions create a narrowed, restricted gastric reservoir, this discrepancy may result from differences in hormonal influences (LSG involves resection of the fundus and thus diminishes ghrelin, whereas ESG does not) and distinct foregut sensorimotor effects (LSG accelerates gastric emptying to impact proximal small intestine-mediated satiation pathways, where ESG delays gastric emptying to impact gastric-mediated peripheral appetite signals)[29-32]. Further exploration of weight loss and safety outcomes in ESG vs LSG warrant direct head-to-head trials, primarily to better inform patients of their available options and the differences between current surgical and endoscopic bariatric therapies.

As approximately 75% of adults with class III obesity have at least one obesity-associated comorbidity, improvement in comorbidities is a valuable measure[25]. Throughout the study duration, comorbidity improvement was observed in over half of those with hypertension and type II diabetes, and nearly half of those with hyperlipidemia. We attribute this phenomenon to clinical responder rates, as almost all subjects achieved at least 10% TBWL at one year. This appears to be a meaningful inflection point for improvement in obesity-related comorbidities [26]. This phenomenon may help reduce the side effects, interactions, and cost associated with comorbidity-related polypharmacy often observed in patients with class III obesity.

Performance of the ESG in patients with  $BMI \ge 40 \text{ kg/m}^2$  demonstrates an acceptable safety profile for clinical adoption, with an observed 0.2% SAE rate that is in line with the expert consensus that an endoscopic bariatric and metabolic therapy not have a SAE rate exceeding 5%[25]. The majority of severe SAEs from ESG – particularly the accounts of gastric perforation, fluid collection/abscess, venous thromboembolism, and gastrointestinal bleeding reported in the literature – are expected to occur within the first month after the ESG, and thus our inclusion of all 404 patients permitted a suitable and robust ability to capture these outcomes[26]. Both adverse events in this study were graded as mild in severity according to the lexicon[19]. We suspect that these favorable safety outcomes stem from a variety of factors: performance of ESG by highly experienced endobariatric physicians; procedural technique that maintains full-thickness tissue acquisition while avoiding extra-gastric structures; avoidance of the thin-walled gastric fundus; regular follow-up visits and physician contact for symptom assessment; and exclusion of patients with severe systemic disease.

While the safety profile of ESG in patients with class III obesity is appealing compared to that of bariatric surgery, ambitions of narrowing the management gap in class III obesity with the ESG are tempered by barriers more unique to endoscopic bariatric therapies[33]. Despite the recent United States FDA authorization, the ESG is not covered by most insurances. This puts a financial burden on patients

as they navigate a cash pay model. Moreover, the technical implementation of ESG remains heterogenous, and while dedicated training programs exist for bariatric surgeries, there are ongoing discussions about how best to develop and standardize endobariatric training programs and establish credentialing requirements for interested endoscopists[34]. Thus, while demonstrating favorable efficacy, safety, and acceptance, ESG still faces practical challenges that must be addressed for successful clinical adoption.

From a technical standpoint, there was little difference between creation of ESGs in our subjects with class III obesity and our patients with class I and II obesity. Patients required a median of 7 sutures, which is typical for our ESGs in lower classes of obesity, and our suture pattern was not modified for this patient population. While same-day discharge was feasible for all subjects in this cohort, there are still precautions regarding anesthesia risk, airway management, and equipment and facility factors that have to be considered by institutions aiming to offer ESG in this patient population.

Our study has several strengths. The cohort is the largest studied to date and was derived from two high-volume, experienced endobariatric centers that utilize the same procedural technique and aftercare protocols. Both study endoscopists are highly trained, having performed more than 3500 combined ESG procedures, reducing the impact of technical variability and inexperience. Finally, nutritional support with dieticians at both centers was comprehensive, and follow-up was near-complete, despite the impact of the coronavirus disease 2019 (COVID-19) pandemic.

This study also had certain limitations. Regarding trial design, this was a retrospective review of subjects that lacked a comparator arm, so the true difference in weight loss outcomes relative to a similar population using diet and exercise for weight loss is not known; however, all patients treated at both centers had failed to lose weight or maintain prior weight loss by the time they sought ESG. Second, the prevalence of medical comorbidities in this cohort was lower than would be expected for class III obesity. This may have been because diagnosis of comorbidities relied largely on indirect report from primary care physicians and patients or medication lists rather than direct lab measurement in all instances, and comorbidity improvement was limited insofar as post-ESG lab values were not widely available given that this is not standard practice in our centers. Nevertheless, this cohort was, in essence, a "healthy" population of patients with class III obesity, which is not unusual for those seeking nonsurgical treatment but may have led to an under-assessment of metabolic impacts. Third, the external validity of this study may be limited considering the high level of experience of the involved centers, both in terms of procedural volume and longitudinal aftercare capabilities. Additionally, 281 (69%) of the 404 patients had their ESG procedure within 6-months of the onset of the COVID-19 pandemic, which may have impacted their overall weight loss. Finally, though patient adherence throughout the study duration was greater than 80%, the absolute number of subjects who reached the 24- and 36month timepoints was small, meaning we must be cautious when interpreting these later outcomes.

Based on the promising results presented in this study, ESG in combination with a prescribed nutritional program should be offered to patients with class III obesity. Given the global burden of obesity, compounded by limited therapeutic options that are both accessible and appealing to patients, ESG can be a useful tool for reducing the substantial management gap in this disease when performed by experienced endobariatric physicians with reliable, long-term aftercare. Further study of ESG in class III obesity should assess improvement in associated medical problems, the effects of combination ESGpharmacotherapy, and directly compare ESG to traditional bariatric surgeries.

#### CONCLUSION

When combined with longitudinal nutritional support, ESG is a safe and effective tool for adults with class III obesity, with clinically-meaningfully weight loss at one year that was sustained in the subsequent two years, as well as improvement in weight-related comorbidities. Patients may need additional therapy to reduce body mass index out of obesity range.

# ARTICLE HIGHLIGHTS

#### Research background

Endoscopic sleeve gastroplasty (ESG) is a minimally invasive weight loss tool that narrows and shortens the stomach into a tubular construct through full-thickness suturing. The majority of published data on the ESG focus on patients with class I [(Body mass index (BMI) 30.0-34.9 kg/m<sup>2</sup>] or class II (BMI 35.0- $39.9 \text{ kg/m}^2$ ) obesity.

#### Research motivation

Patients with class III obesity (BMI  $\ge$  40 kg/m<sup>2</sup>) face greater mortality risk and increased emergence of weight-related comorbidities compared those of lower obesity classes; however, the vast majority of patients with class III obesity do not pursue bariatric and metabolic surgery, leading to a substantial



therapeutic gap in this patient population, which ESG may help address.

#### Research objectives

To address knowledge gaps in the clinical adoption of ESG as a weight loss tool in adults at higher ranges of body mass index, we sought to evaluate the clinical efficacy of ESG in patients with class III obesity based on weight loss and resolution of comorbidities, as well as safety outcomes, over the course of three years.

#### Research methods

This was a retrospective evaluation of prospective collected data of adult patients undergoing ESG from May 2018-March 2022 at two centers with expertise in endobariatric therapies.

#### Research results

404 adult patients with class III obesity underwent ESG and achieved 20.9 ± 6.2% total body weight loss and 49.6 ± 15.1% excess weight loss at one year, which was maintained at two and three years. 87.4% of patients achieved > 15% total body weight loss by one year. Of the cohort, 66.1% had improvement in hypertension, 61.7% had improvement in type II diabetes, and 45.1% had improvement in hyperlipidemia over the study duration. There was a 0.2% serious adverse event rate.

#### Research conclusions

When combined with longitudinal nutritional support, ESG facilitates safe and effective weight loss at one year in adults with class III obesity, which is maintained at years two and three. ESG should be considered for patients with class III obesity wishing to avoid metabolic and bariatric surgery.

#### Research perspectives

While safe and effective in the treatment of class III obesity, ESG did not cure patients of obesity within the confines of this study, and future research should evaluate practices that enhance weight loss from ESG in this population, including procedural modifications or combination therapy with pharmacologic agents.

# FOOTNOTES

Author contributions: Maselli DB prepared and edited the manuscript; Hoff AC, Weaver E, Sebring L, Gooch L, Walton K, collected data and critically revised the manuscript; Kucera A, critically revised the manuscript and authored the study protocol; Lee D critically revised the manuscript and managed the study database; Cratty T, Beal S, Nanduri S, Rease K, Gainey C, and Coan B critically revised the manuscript; McGowan CE conceptualized and designed the study and critically revised the manuscript.

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